

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

In re application of : Date: March 29, 2001  
Arthur M. Gilb rt et al. : IBM Corporation  
Serial No. (to be assigned) :  
Filed: Herewith : Unit: (to be assigned)



For: Method and System for Architecting a Secure Solution

**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington DC 20231

Dear Sir:

This Information Disclosure Statement is being submitted in connection with the above-identified application for patent. Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 C.F.R. § 1.98(a)(1). A copy of each of the items identified on the attached Form PTO-1449 is supplied herewith.

Respectfully submitted,

A handwritten signature in cursive script, reading "Kenneth A. Seaman".

Kenneth A. Seaman  
Reg. No. 28,113

Telephone: 704-365-6363  
Docket: END9-2000-0116US1

FORM PTO-1449 (Modified)

ATTY. DOCKET NO. END9-2000-0116US1

SERIAL NO. (tba)

List of Patents and Publications for  
Applicant's Information Disclosure Statement

APPLICANTS: Arthur M. Gilbert et al.

(Use several sheets if necessary)

FILING DATE:

GROUP:



**Reference Designation**

**US PATENT DOCUMENTS**

Examiner Initials	Document Number	Date	Name	Class/Subclass	Filing Date (If. Appro.)
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**FOREIGN PATENT DOCUMENTS**

Document Number	Date	Country	Class/Subclass	Translation Yes No
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**OTHER ART (Including Author, Title, Data, Pertinent Pages, etc.)**

Computerworld article "Common Ground Sought on IT Security Requirements" Mar. 12, 2001 - 2 pages (from [http://www.computerworld.com/cwi/Print...Version/0,1212,NAV47\\_STO58497-.00.html](http://www.computerworld.com/cwi/Print...Version/0,1212,NAV47_STO58497-.00.html))

Paper "Security and e-business: is there a prescription?" presented by Jim Whitmore at 21st National Information Systems Security Conference 1998 - 9 pages

Examiner

Date Considered

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.